

BELIZE

FIRST SCHEDULE

Regulation 41

APPLICATION FORM

Date Received	Reference
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For official use

International Financial Services Commission (Licensing) Regulations

Application for a LICENCE to carry on, provide or transact any of the international financial services in or from within Belize.

NAME OF THE APPLICANT

NOTES:

1. Complete all sections as fully as possible and attach supplementary sheets, where appropriate.
2. Completed application form and supporting documentation, together with the appropriate application fee, should be submitted to:

THE DIRECTOR-GENERAL
International Financial Services Commission
New Administration Building (Second Floor)
Belmopan, Belize, C. A.
Telephone: 501-822-3800
Fax: 501-822-3810
E-mail: legalconsl@btl.net or legal_consl@yahoo.com

A Name of applicant

B Registered office or address of applicant in Belize

C Telephone _____ Telefax _____
E-mail _____

D Principal business address, if different from above

E Address of applicant's head office

F If applicant is a company, state and attach certificate of incorporation together with corporate documents, and produce evidence of place of incorporation and registered office and address of the principal office

G Names of directors, shareholders, officers of applicant company (See Second Schedule for biographical affidavit form for each director, shareholder and officer of company to complete)

H Authorised Share capital _____

Paid up capital (show separately) _____

If applicant is a partnership firm, state and produce evidence of where established and address of principal office.

J _____
Names and addresses and nationalities of general partners

K If applicant is an individual, state and produce evidence of
Nationality _____ Date of birth _____
Place of birth _____
Address _____

L State relevant qualifications, experience, etc. for provision of the service for which the licence is being applied.

[NB. The categories of persons listed under Regulation 5 (a) to (g) must include certification of their qualifications, etc.]

M Have you ever been convicted in Belize or elsewhere of any of the following offences: namely, theft, embezzlement, larceny, dishonesty, false pretences, mail fraud, or of any offence against any corporate security statutes, insurance laws, offshore banking laws or international financial services laws; or have you been the subject of any disciplinary proceedings by any governmental or state regulatory agency or any professional body?

If yes, please give details.

(Add supplementary sheets, if necessary)

N Has any company been so charged allegedly as a result of any action or conduct on your part? If yes, give full details

O During the last ten years, have you ever been refused a professional, occupational, or vocational licence by any public or governmental licensing agency or regulatory authority, or has any such licence held by you ever been suspended or revoked? If yes, please give full details.

P Have you ever been adjudged a bankrupt, or has any bankruptcy proceedings or any regulatory action ever been taken against you either in Belize or elsewhere? If yes, please give full details.

Q Trading name or names which the applicant proposes to use in connection with service/s to be offered

R Activity or activities which the applicant proposes to carry on within or from within Belize for which licence is required -

1. Formation or management of international business companies or other offshore companies
2. Trust formation and management of offshore trusts and provision of trustee services
3. International asset protection and management
4. Money transmission services
5. Payment processing services
6. Trading in foreign exchange
7. Trading in financial and commodity-based derivative instruments and other securities (e.g., futures, options, interest rates, foreign exchange instruments, shares, stock, contracts for differences etc.)

8. Money brokering
9. Money lending and pawning
10. Money exchange
11. Safe custody services
12. Accounting services
13. Brokerage, consultancy or advisory services in any of the above services

Proposed date of commencement of service/s to be offered _____

___ Notes:

- (i) Application for a licence to provide international insurance services should be made to the Commission under the International Insurance Act and International Insurance Regulations.
- (ii) Application for a licence for international collective investment schemes (such as mutual funds, unit trusts, etc.) should be made to the Commission under the Mutual Funds Act and Mutual Funds Regulations.
- (iii) Application for international banking should be made to the Central Bank of Belize, Gaol Lane, Belize City, Tel. 501-223-6194 Fax: 501-223-6226 E-mail: cbbhrd@btl.net
- (iv) Application for on-line gaming licence should be made to the Gaming Control Board, Ministry of National Development, Belmopan, Belize, Tel: 501-822-2526 or 822-2527 Fax: 501-822-3673 E-mail: econdev@btl.net

S State below any other material information which you consider relevant to the assessment of your application

T Amount enclosed US\$ _____

I undertake to inform the Commission without delay of any material change to the information supplied on this form.

Name (block capitals)

Signed _____ Date _____

- NB
1. It is an offence to give any false or misleading information.
 2. All cheques or money orders should be made payable to the "International Financial Services Commission."
